The “go” family of products, developed by UNODC, provides sustainable and affordable IT solutions for use by government agencies in a wide range of areas, within the realms of the UNODC mandates, including Law Enforcement, Prosecution, Intelligence, Financial Intelligence, Revenue and Customs, Regulatory, Anti-Corruption and Asset Forfeiture and Recovery.

>> goAML VERSION 3.0

UNODC is proud to announce the release of Version 3.0 of goAML. Version 3.0 contains a number of significant new features and improvements to existing features including a complete rebuild of the goAML Web Application. All of the new features and improvements have been developed at the request of, and in collaboration with, current users of goAML.

The first deployment of Version 3.0 took place at the Netherlands FIU from 13 September - 01 October 2010 and included the successful delivery of training for the FIU’s IT staff as well as full business training for analysts. Version 3.0 will be progressively rolled out to all existing users in the course of annual support visits over the coming months and all new users of goAML will receive Version 3.0.

The principal new features of goAML Version 3.0 include a data matching module, two-way secure communication between FIUs and client organisations, a rearrangement of the main goAML Task Bar to better define and group the various functions available, and the provision of several new business processes with their own workflow streams.

Data Matching

This new module is probably the most significant enhancement in Version 3.0 of goAML. What this new module allows is the automated matching of data collected from external sources against the FIU’s own data holdings. What is particularly significant about this module is that virtually anyone with user rights can design and implement their own matching criteria and run matches on an ad hoc or scheduled basis. Designing a Data Importer or a Data Matcher requires no specific technical IT knowledge or training which means analysts in particular can dictate their own matching criteria based on their specific needs. Once created, a Data Matcher can be saved and used exclusively by that analyst, shared with others or used generally by the FIU to undertake scheduled data matching exercises.

How does it work?

Lists of data, for example lists of persons of interest to a particular agency, are supplied to the FIU in the form of Excel Spreadsheets. These are saved in an external environment and the analyst then designs a Data Importer which specifies those fields from the spreadsheet he/she wishes to import to goAML to match against the FIU’s own data. Matching might just be required on first name, last name and date of birth in which case only those fields will be imported. A Data Matcher is then created. This specifies what fields imported from the spreadsheet are to be matched against the FIU’s data and provides many different options. For example, just a specific number of characters contained in a name can be specified and the system will look for names containing those characters and present the analyst with a list of possible matches. The more fields that are specified the more exact the match will be. The Data Matcher can be used just once for a specific purpose or saved and used again and again.

Data Importer

The fields supplied by the external agency appear in the left column and all goAML database objects in the right. Those fields to be matched are simply mapped by clicking and dragging one to the other.

Data Matcher

Once the required data is imported, a Data Matcher is designed and a match run can then be done.

This powerful new feature provides a whole new dimension to the FIU’s analytical capability.
Reconfigured goAML main Toolbar
The main goAML toolbar has been reconfigured to make navigation to the various goAML functions and business processes easier and more efficient.

Two way, secure communication
This new feature is part of the rebuild of the goAML Web Application which, in addition to this feature, now contains a number of new formats for reporting by reporting institutions. These new formats (Web forms) have been developed to take account of the now many and varied types of institutions and businesses required to submit reports to FIUs. The communication capability allows an FIU to communicate, in a secure environment, with reporting institutions, law enforcement agencies, government and other agencies or organisations supplying information to the FIU or with whom the FIU itself needs to communicate. Entities other than reporting institutions are labeled in the application as “Stakeholders” and only have access to the communication tool and not to the reporting facilities. The communication tool looks and behaves just like any email system but in fact it isn’t. Rather it is a direct communication between the FIU and the sender/receiver utilizing the secure Web connection already established between the two entities.

New Business Processes
Previous releases of goAML had a limited number of options available to FIUs once initial action or analysis indicated that further action on the particular matter under enquiry was required. Business processes now available are case proposal, case, operation and project. When initial action is completed the matter under enquiry can be escalated to a case proposal, then a case or directly from enquiry/analysis to a case. The “operation” option allows for further escalation at the tactical level to that status if there are a number of cases involved and they need to be consolidated and worked on together. The Project option will usually be used for strategic project work that could result from escalation of cases or could be an internally initiated activity involving strategic analysis, demographic analysis and a host of other reporting and analytical options available in goAML. The actual names of these business processes can be configured to whatever the user FIU prefers. There is also now a business process which manages requests for information either made by the FIU to other bodies or made of the FIU by other bodies. Each of these business processes has its own configurable workflow cycle.
**DOW JONES WATCHLIST NOW FREE FOR goAML USERS**

Following lengthy discussions between the Dow Jones Corporation and UNODC on the possibility of Dow Jones offering the Dow Jones Watchlist to any FIU using goAML, UNODC is pleased to announce the availability of Dow Jones Watchlist data via goAML for all goAML users free of charge. Used by eight of the world’s ten largest global financial institutions, the Dow Jones Watchlist will provide FIUs using goAML with the ability to tighten controls against money laundering and illicit payments with key information about a public figure’s identity and the identities of relatives and close associates, as well as enabling them to access detailed information on over 500,000 profiles from a single point. UNODC is grateful to Dow Jones for its cooperation and contribution towards fighting financial crime. For more information about the Dow Jones Watchlist, see www.dowjones.com/riskandcompliance/watchlist.asp

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**UPDATES FROM UNODC’S “goPARTNERS”**

- The annual support mission to the Palestinian Monetary Authority’s Financial Follow-up Unit (FFU) for goAML took place from 18 – 30 July 2010. The environment was upgraded on the infrastructure side and the latest release of goAML was deployed. In addition, training sessions were conducted with the IT and Analysts teams.

- The annual support mission to Nigeria’s EFCC in Abuja for goCASE took place during the period 7-23 September 2010, during which time goCASE was upgraded, replications were successfully set up and training for replication administration and monitoring was delivered. In addition, goCASE was deployed in Lagos and a goCASE training environment was set up, including the establishment of a goCASE training server, training room, user accounts and PCs. Following deployment, hands-on training was provided for goCASE investigators. Post-training support was also delivered to staff.

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**OTHER NEWS**

- Two ITS/ESU staff members took part in a mission to New York in August 2010 to present goCASE to the Strategic Policy and Development Section (SPDS) of the UN Department of Peacekeeping Operations (DPKO). During the week-long mission, a goCASE evaluation environment was successfully set up for the purpose of testing and evaluating goCASE and hands on training was provided to staff in SPDS.

- Two ITS/ESU staff members took part in the 18th Egmont Group Plenary in Cartagena, Colombia, from 27 June – 01 July 2010. During the plenary, goAML was discussed with and demonstrated to a wide range of potential user FIUs. Discussions were also held with donors and the IT Working Group (ITWG) meeting was attended.

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For more information on the services and products offered by UNODC’s Information Technology Service (ITS), please download the ITS Catalogue of Services at: goaml.unodc.org/goaml/en/documentation.html